

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER WO04114171US
INTERNATIONAL APPLICATION NO. PCT/EP2004/050094		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 60/480614 30/561899
INTERNATIONAL FILING DATE 08 February 2004		PRIORITY DATE CLAIMED 23 June 2003 (23.06.2003)
TITLE OF INVENTION Method and Apparatus for Dynamic Pricing Exchange		
APPLICANT(S) FOR DO/EO/US BRIAN DILLON-FERRIS		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. <input type="checkbox"/> is attached hereto.		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input type="checkbox"/> A preliminary amendment.		
14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input checked="" type="checkbox"/> A substitute specification.		
16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 60/480544 12/561899		INTERNATIONAL APPLICATION NO. PCT/EP2004/050094		ATTORNEY'S DOCKET NUMBER WO04114171US	
20. Other items or information: Application and all papers sent via Express Mail PO to Addressee: ED 884132929 US on 22 December 2005.					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 500	
TOTAL OF 21, 22 and 23 = 1000					
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
37 - 100 =	0 /50 =	0	x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	20 - 20 =	0	x \$ 50	\$ 0	
Independent claims	3 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 1000	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 500	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 500	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
TOTAL FEES ENCLOSED =				\$ 500	
				Amount to be refunded:	\$
				Amount to be charged	\$

IAP20 Rec'd PCT/PTO 22 DEC 2005

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

HEIMLICH, Alan
Heimlich Law
5952 Dial Way
San Jose, California 95129
United States of America



Digitally signed by Alan Heimlich
DN: CN = Alan Heimlich, C =
US, O = Heimlich Law

SIGNATURE

Alan Heimlich

NAME

48808

REGISTRATION NUMBER

The following has been received by the USPTO on the date stamped herein.	
RECEIVED:	
Express Mail PO to Addressee: ED 884132929 US	Date Mailed: 12/22/2005
Application Filing Date: 12/22/2005	Docket No: WO04114171US
CONTENTS:	
Application Titled: Method and Apparatus for Dynamic Pricing Exchange	
First Named Inventor: Brian Dillon-Ferris	
Declaration (PTO/SB/01) pgs = 1	
Recordation Form (PTO-1595) pgs = 0 and Assignment Form (former PTO/SB/15) pgs = 0	
Patent Transmittal Form (PTO-1390) pgs = 3	
Information Disclosure Form (PTO/SB/08) pgs = 0	
Copies of 0 IDS documents, total pgs = 0	
Copy of Internatinal Application as filed pgs = 14	
Credit Card Payment Form (PTO-2038) - Total payment \$ 500.00 on CC ... 8386	
Application Data Sheet (ADS)	
Application with ADS, total pgs = 29 w ADS	
Drawings – 8 sheets totaling 8 Figures	
Power of Attorney (PTO/SB/81) pgs = 1	
Nonpublication Request (PTO/SB/35) pgs = 0	
This return receipt postcard – 1 postcard	
Certificate of Express Mail PO to Addressee with a copy of this return receipt postcard – 1 pg	

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail Post Office to Addressee No.: ED 884132929 US
Date of Deposit: 12/22/2005

I hereby certify that I am causing this correspondence, and the documents as indicated on the postcard representation above, to be deposited with sufficient postage to the United States Postal Service for Express Mail Post Office to Addressee service on the date of deposit indicated above, and addressed to the address below.

Mail Stop: PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Alan Heimlich

Printed Name of Person Performing Mailing



Signature

Digitally signed by Alan Heimlich
DN: CN = Alan Heimlich, C = US, O =
Heimlich Law
Location: Santa Clara, CA USA

12/22/2005

Date